

SECTORA

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/871,004 | 05/31/2001 | Joel W. Hoehn | S01.12-0805/STL 9643 |

CONFIRMATION NO. 7513

FORMALITIES LETTER



OC000000006365760

Peter S. Dardi, Ph.D.
WESTMAN CHAMPLIN & KELLY
International Centre - Suite 1600
900 South Second Avenue
Minneapolis, MN 55402-3319

Date Mailed: 07/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

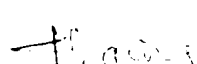
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

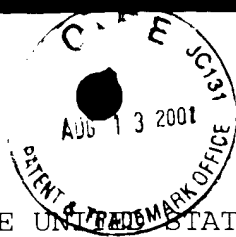
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--|----------------------|
| First Named Inventor : Joel W. Hoehn et al. | Batch No: |
| Appln. No.: 09/871,004 | Allowed: |
| Filed : May 31, 2001 | Group Art Unit: 1762 |
| For : PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS | Examiner: |
| Docket No.: S01.12-0805 | |

LETTER TO OFFICIAL DRAFTSPERSON

Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

9 DAY OF August, 2001.

PATENT ATTORNEY

Sir:

Enclosed are **two** (2) sheets of formal drawings for
filing in the above-identified application.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:
Robert M. Angus, Reg. No. 24,383
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

RMA:tas



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Joel W. Hoehn et al.

Appln. No.: 09/871,004

Filed : May 31, 2001

For : PROCESS FOR PRODUCTION OF
ULTRATHIN PROTECTIVE OVERCOATS

Docket No.: S01.12-0805

Group Art Unit:1762

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

9 DAY OF August, 2001.
Robert M. [Signature]
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated July 31, 2001, Applicant
encloses the following documents to complete the above-identified
patent application filed May 31, 2001:

1. Our check in the amount of \$840 (to cover the filing
fee \$710 and surcharge fee of \$130).
2. Declaration executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date
Granted.
5. Letter to the Official Draftsperson.
6. Two (2) sheets of formal drawings.
7. Executed Power of Attorney with a copy of executed
Assignment.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

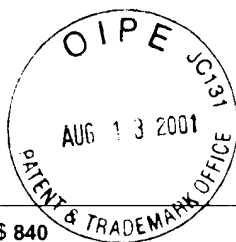
Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Robert M. Angus, Reg. No. 24,383
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

RMA:tas

FEE TRANSMITTAL



Complete if Known

| | |
|----------------------|--|
| Application No. | 09/871,004 |
| Filing Date | May 31, 2001 |
| First Named Inventor | Joel H. Hoehn et al. |
| Title | PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS |
| Group Art Unit | 1762 |
| Examiner Name | |
| Atty. Docket Number | S01.12-0805 |

Total Amount of Payment \$ 840

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | |
|--------------|-------------|--------------|-------------|--|
| Fee | Fee | Fee | Fee | |
| <u>Code</u> | <u>(\$)</u> | <u>Code</u> | <u>(\$)</u> | <u>Fee Description</u> |
| 101 | 710 | 201 | 355 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 106 | 320 | 206 | 160 | <input type="checkbox"/> Design Filing Fee |
| 108 | 710 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee |
| 114 | 150 | 214 | 75 | <input type="checkbox"/> Prov. Filing Fee |

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|--------|---------------|---------|-------|----------------|----------|
| Total | 20 | 20 | 0 | 18 | 0 |
| Indep. | 1 | 3 | 0 | 80 | 0 |

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity | | Small Entity | | Description |
|--------------|-------------|--------------|-------------|---|
| Fee | Fee | Fee | Fee | |
| <u>Code</u> | <u>(\$)</u> | <u>Code</u> | <u>(\$)</u> | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple Dependent Claims |
| 109 | 80 | 209 | 40 | Reissue Independent Claims over Original Patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee Paid |
|------------------|------------------|--|----------|
| Code (\$) | Code (\$) | | |
| 105 130 | 205 65 | Surcharge - Late filing fee or oath | 130 |
| 127 50 | 227 25 | Surcharge - Late provisional Filing Fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For Filing a Request for Reexamination | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 390 | 216 195 | Extension for reply within second month | |
| 117 890 | 217 445 | Extension for reply within third month | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 1,890 | 280 945 | Extension for reply within fifth month | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 148 110 | 248 55 | Terminal Disclaimer Fee | |
| 140 110 | 240 55 | Petition to Revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to Revive - unintentional | |
| 142 1,270 | 242 650 | Utility/Reissue issue fee (inc. advance copies) | |
| 143 470 | 243 250 | Design issue fee (inc. advance copies) | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 180 | 126 180 | Submission of Information Disclosure Statement | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |

Other Fee (specify) _____

Subtotal (3) \$130

Signature

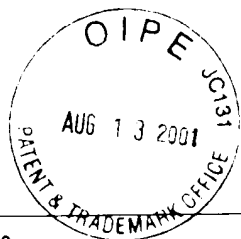
(Robert M. Angus)

Reg. No. 24,383

Date Aug. 7, 2001

Deposit Account No. 23-1123

FEE TRANSMITTAL



Complete if Known

| | |
|----------------------|--|
| Application No. | 09/871,004 |
| Filing Date | May 31, 2001 |
| First Named Inventor | Joel H. Hoehn et al. |
| Title | PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS |
| Group Art Unit | 1762 |
| Examiner Name | |
| Atty. Docket Number | S01.12-0805 |

Total Amount of Payment \$ 840

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.
2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee | Small Entity Fee | Code | Fee Description |
|------------------|------------------|------|--|
| 101 | 201 | 355 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 106 | 206 | 160 | <input type="checkbox"/> Design Filing Fee |
| 108 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee |
| 114 | 214 | 75 | <input type="checkbox"/> Prov. Filing Fee |

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|--------|---------------|---------|-------|----------------|----------|
| Total | 20 | 20 | 0 | 18 | 0 |
| Indep. | 1 | 3 | 0 | 80 | 0 |

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater, Reissue see below

| Large Entity Fee | Small Entity Fee | Code | Fee Description |
|------------------|------------------|------|---|
| 103 | 203 | 9 | Claims in excess of 20 |
| 102 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 204 | 135 | Multiple Dependent Claims |
| 109 | 209 | 40 | Reissue Independent Claims over Original Patent |
| 110 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee Paid |
|------------------|------------------|---|----------|
| 105 | 205 | 65 Surcharge - Late filing fee or oath | 130 |
| 127 | 227 | 25 Surcharge - Late provisional Filing Fee or cover sheet | |
| 139 | 239 | 130 Non-English specification | |
| 147 | 2520 | 147 For Filing a Request for Reexamination | |
| 115 | 215 | 55 Extension for reply within first month | |
| 116 | 216 | 195 Extension for reply within second month | |
| 117 | 217 | 445 Extension for reply within third month | |
| 118 | 218 | 695 Extension for reply within fourth month | |
| 128 | 280 | 945 Extension for reply within fifth month | |
| 120 | 220 | 155 Filing a brief in support of an appeal | |
| 121 | 221 | 135 Request for oral hearing | |
| 148 | 248 | 55 Terminal Disclaimer Fee | |
| 140 | 240 | 55 Petition to Revive - unavoidable | |
| 141 | 241 | 620 Petition to Revive - unintentional | |
| 142 | 242 | 650 Utility/Reissue issue fee (inc. advance copies) | |
| 143 | 243 | 250 Design issue fee (inc. advance copies) | |
| 122 | 122 | 130 Petitions to the Commissioner | |
| 123 | 123 | 50 Petitions related to provisional applications | |
| 126 | 126 | 180 Submission of Information Disclosure Statement | |
| 581 | 581 | 40 Recording each patent assignment per property (times number of properties) | |

Other Fee (specify) _____

Subtotal (3) \$130

Signature Robert M. Angus
(Robert M. Angus)

Reg. No. 24,383

Date Aug. 9, 2001

Deposit Account No. 23-1123